

11/02/01

11044 U.S. PTO

12-06-01

# 5/A  
2-18-04  
M.L.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. AM919970053US2  
Prior Application No.: 08/979,505  
First Named Inventor: ROGER K. DEBRY

Examiner: M. SMITHERS  
Art Unit: 2132

Jc555 U.S. PTO  
10/004516  
11/02/01

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir: This is a request for filing a            continuation  
           continuation-in-part  
  X   divisional

application under 37 CFR 1.53(b), of pending prior application Serial No. 08/979,505 filed on  
November 26, 1997, for SECURE CONFIGURATION OF A DIGITAL CERTIFICATE FOR A PRINTER OR  
OTHER NETWORK DEVICE

1.   X   Enclosed is a complete copy of the prior application including the oath or declaration as filed  
on March 17, 1998 and an affidavit or declaration verifying it as a true copy. (See Section 14 for  
declaration.)
2.   X   Three (3) formal drawings are enclosed.
3.   X   Associate Power of Attorney and Change of Address filed May 1, 2000.
4.   X   A preliminary amendment will follow shortly.
5.   X   Also enclosed: Return Postcard
6.            A verified statement to establish small entity status under 37 CFR 1.9 and 1.27    is enclosed was  
filed in the prior application and such status is still proper and desired (37 CFR 1.28(a).
7.   X   Cancel in this application original claims 1, 7, 12-14 of the prior application before calculating  
the filing fee. (At least one original independent claim must be retained for filing purposes.)
8.   X   Amend the specification by inserting the following sentence before the first line: "This is a  
Continuation,    Continuation-in-Part or   X   Division of application serial no.  
08/979,505, filed November 26, 1997, currently pending."
9.            Priority of application serial No.           , filed on           , in            is claimed under  
35 U.S.C. 119. The certified copy has been filed in prior application serial no.           , filed           .

10004516-110201  
AI

Express Mail No. EF 272757736US

I hereby certify that this paper or fee is being deposited with the United States Postal Service as "Express Mail Post Office  
to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to the Commissioner of Patents  
and Trademarks, Washington, D.C. 20231.

Date of Deposit: 11/2/2001

By: [Signature]  
Denae Faris

10.   X   The filing fee is calculated below:

CLAIMS AS FILED IN THE PRIOR APPLICATION  
LESS ANY CLAIMS CANCELLED BY AMENDMENT NOW

Basic Fee		\$740.00
Extra Total Claims ( <u>0</u> x \$18.00)	=	0.00
Extra Independent Claims ( <u>0</u> x \$84.00)	=	0.00
Total Filing Fee:	=	\$740.00

11.   X   The Commissioner is hereby authorized to charge \$740.00 and any additional fee which may be required, or credit any overpayment to IBM Corporation Deposit Account 09-0457. *A duplicate of this sheet is enclosed.*

12.            A check in the amount of \$            is enclosed.

13.   X   The prior application is assigned of record to International Business Machines Corp., Armonk, NY 10504.


14.   X   The power of attorney in the prior application is to Andrew J. Dillon

- a.   X   The power of attorney appears in the original papers in the prior application.
- b.            A new power of attorney is enclosed (*adding prosecution attorneys*).
- c.            Since the power of attorney does not appear in the original papers, a copy of the power in the prior application is enclosed.
- d.   X   Address all future communication to: **Andrew J. Dillon, Esq., Bracewell & Patterson, L.L.P., Suite 350 Lakewood On The Park, 7600B N. Capital of TX. Hwy., Austin, Texas 78731.**

15.   X   I hereby verify that the attached application is a true copy of prior application Serial No. 08/979,505 as originally filed on November 26, 1997, the Associate Power of Attorney and Change of Address filed on May 1, 2000; and the Declaration and Power of Attorney filed on March 17, 1998.

The undersigned declares further that all statements made herein of his or her own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

11/2/01  
Date

  
Andrew J. Dillon  
Reg. No. 29,634

Address of signatory:  
BRACEWELL & PATTERSON, L.L.P.  
Suite 350 Lakewood on the Park  
7600B N. Capital of TX. Hwy  
Austin, Texas 78731  
(512) 542-2100

           Inventor(s)  
           Assignee of complete interest  
  X   Attorney or agent of record  
           Filed under §1.34(a)

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